

OWNERSHIP, CONTROL AND FULL LEGAL STRUCTURE OF THE ENTITY CLIENT

Under the Proceeds of Crime (Money Laundering) and Terrorist Financing Regulation

Attach this form to the account opening application

OWNERSHIP, CONTROL AND FULL LEGAL STRUCTURE OF THE ENTITY CLIENT

TYPE OF REQUEST

☐ New Customer ☐ Additional Account ☐ Modification to existing account ☐ Conversion to fee based

1. ENTITY CLIENT

Entity Name : _____

Client no., if available : _____

2. INDIVIDUALS THAT HOLD A PERCENTAGE OF OWNERSHIP IN THE ENTITY

Last Name	First Name	Address	Date of Birth (DD-MM-YYYY)	% of Ownership

3. ENTITIES THAT HOLD A PERCENTAGE OF OWNERSHIP IN THE ENTITY CLIENT - Complete a separate appendix (on page 2) for each entity listed below.

Entity Name	Address	% of Ownership
A		
B		
C		
D		
E		
F		
G		
H		
I		
J		

4. TOTAL PERCENTAGE OF OWNERSHIP

_____ + _____ = _____

Total percentage in Section 2 Total percentage in Section 3 TOTAL must equal 100%

5. ATTESTATION AND SIGNATURE OF THE ENTITY

I, the undersigned _____, _____ of _____,

 Officer's first and last name Title of Signing Officer (president, secretary, etc.) Name of Company

hereby certify that the information above is accurate and true.

_____ _____

 Officer's signature Date (DD-MM-YYYY)

APPENDIX A
ENTITY (Enter the letter (in section 3), or the Roman numeral (in section C)) _____

COMPLETE A SEPARATE APPENDIX FOR EACH ENTITY LISTED IN SECTION 3 - ENTITIES WITH AN OWNERSHIP PERCENTAGE
A - IDENTIFICATION OF THE ENTITY IDENTIFIED IN SECTION 3
Business name of the shareholder in our entity client : _____

Legal form : ☐ Company ☐ Trust ☐ In-Trust ☐ Association
☐ **Corporation :** ☐ Joint venture ☐ Partnership ☐ Limited partnership
☐ **Other :** _____

% of ownership in our client (as entered in Section 3 : _____

B. INDIVIDUALS WHO HOLD AN OWNERSHIP PERCENTAGE IN THE ENTITY

Last Name	First Name	Address	Date of Birth (DD-MM-YYYY)	% of ownership in :	
				Entity	Commercial Client

C. ENTITIES THAT HOLD A PERCENTAGE OF OWNERSHIP IN THE ENTITY. Complete a separate appendix for each entity listed below.

Entity	Address	% of ownership in :	
		Entity	Commercial Client
I			
II			
III			
IV			
V			
VI			
VII			
VIII			
IX			
X			

D. PERCENTAGE OF TOTAL OWNERSHIP

_____ + _____ = _____
 Total percentage in Section B ("Entity" column) Total percentage in Section C ("Entity" column) TOTAL must equal 100%

E. ENTITY ATTESTATION AND SIGNATURE

I, the undersigned _____, _____ of _____,
 Officer's first and last name Title of Signing Officer (president, secretary, etc.) Name of Company

hereby certify that the information above is accurate and true.

_____ _____
 Officer's signature Date (DD-MM-YYYY)